

UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S APPLICATION NUMBER NO

FIRST NAMED APPLICANT

ATTY. DOCKET NO

10/088,952

Stephen H Leppla

15280-4051US

PCT/US00/26192

I.A. FILING DATE

PRIORITY DATE

09/22/2000

09/24/1999

Annette S Parent Townsend and townsend and Crew Two Embarcadero Ceter 8 th Floor San Francisco, CA 94111-3834

CONFIRMATION NO. 4741 371 FORMALITIES LETTER *OC000000008204572*

INTERNATIONAL APPLICATION NO

Date Mailed: 05/30/2002

Response Due 3/36/02

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- . U.S. Basic National Fees
- · Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- . Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.			
10/088,952	PCT/US00/26192	15280-4051US			

FORM PCT/DO/EO/905 (371 Formalities Notice)

Please type a plus sign (+) inside this pox -

Approved for use through 10/31/2002. OMB 0651-0031

July 30, 2002

July 30, 2002

Date

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> TRANSMITTAL **FORM**

ENTE TRE

(to be used for all correspondence after initial filing)

10/088,952 **Application Number** Filing Date March 22, 2002 First Named Inventor LEPPLA, Stephen H. Not yet assigned Group Art Unit **Examiner Name** Not yet assigned

Total Number of Pages	s in This Submission	38	Attorn	ey Docket Number	015280-405100US		
ENCLOSURES (check all that apply)							
Fee Transmittal F	form	Assignment Papers (for an Application)			After Allowance Communication to Group		
Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	sponse	Licensing-related Papers		d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/dec	laration(s)	Petition to Convert to a Provisional Application			Status Letter		
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):		
. Express Abandon	nment Request	☐ Terminal Disclaimer ☐ Request for Refund			Return Postcard; copy of Notice of Missing Requirements Under 35 U.S.C. 371 re sequence disclosure; sequence listing on		
Information Disclo	osure Statement	CD, Number of CD(s)		CD(s)	diskette; printed sequence listing pages 1- 8; Communication Under 37 C.F.R. 1.821- 1.825 and Pre. Amend.		
Certified Copy of Document(s)	Priority	Rema	rks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.			
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Response to Parts under 3 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm	Townsend and Townsend and Crew LLP						
and Individual name	Annette S. Parent Reg. No. 42,058						
Signature							
Date	July 30, 2002						
CERTIFICATE OF MAILING							
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